



OLD REPUBLIC Surety Group

P.O. Box 1635
Milwaukee, WI 53201

Not for use in NY

Protection for Pension & Welfare Plans!

Help Protect Plan Assets From Fraud

WHY AN ERISA BOND IS NEEDED:

The 1974 Employee Retirement Income Security Act was enacted by Congress to regulate employee benefit plans. This Act mandated that every fiduciary responsible for managing a benefit plan, and/or every individual who handles the assets of such a plan, be covered by a fidelity bond to help protect the plan's assets from fraudulent activity.

AMOUNT OF BOND NEEDED:

It is recommended that you discuss with your counsel, plan administrator, and/or secure local ERISA compliance information regarding the amounts of coverage necessary for your plan.

PROTECTION AT COMPETITIVE RATES:

An ERISA bond from Old Republic Surety Group can provide protection and peace of mind at competitive rates. You will be provided with quick turnaround service and complete claim handling by an expert staff should the need arise.

SIZE AND STABILITY:

Old Republic Surety Group is rated "A" by A.M. Best, and is a subsidiary of Old Republic International Corporation, which is traded on the New York Stock Exchange under the symbol ORI.

EASE OF ORDERING:

Simply complete the application and mail it, along with your check for the bond premium, to the independent insurance agent listed above. Your bond will be promptly issued and returned.

Your Old Republic Agent is:



PLEASE TYPE OR PRINT LEGIBLY

ERISA BOND APPLICATION

For Pension and Profit Sharing Plans (*must be Defined Contribution to be processed*)

1. Legal name of Plan(s) [see IRS Form 5500(s)]:

2. Mailing Address:

3. Bond Amount: \$ _____

4. Effective Date: _____

5. Term: 1 Year or 3 Years

6. Total Plan Assets: \$ _____

7. Number of fiduciaries, administrators, officers, and employees: _____ (include all people having access and/or control over the funds)

8. Has Applicant experienced any dishonesty losses in the last 6 years? Yes No (If yes, attach explanation)

* Amount of Bond Premium enclosed: \$ _____

Dated this _____ day of _____, _____.

By: _____
(Name and Title)

(Signature)

ARKANSAS: "Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison."

COLORADO: "It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies."

DISTRICT OF COLUMBIA: "Warning: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant."

FLORIDA: "Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree."

HAWAII: "For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both."

KENTUCKY: "Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime."

LOUISIANA: "Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison."

MAINE: "It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits."

NEW JERSEY: "Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties."

NEW MEXICO: "Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties."

OHIO: "Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud."

PENNSYLVANIA: "Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties."

RHODE ISLAND: Insurer shall place on the application a warning which indicates the existence of a criminal penalty for failure to disclose a conviction for arson.

TENNESSEE: "It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits."

VIRGINIA: "It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits."

WASHINGTON: "It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits."